

# Decision-oriented Uncertainty Characterization to Inform Adaptation and Mitigation: Implications for Adaptation Science

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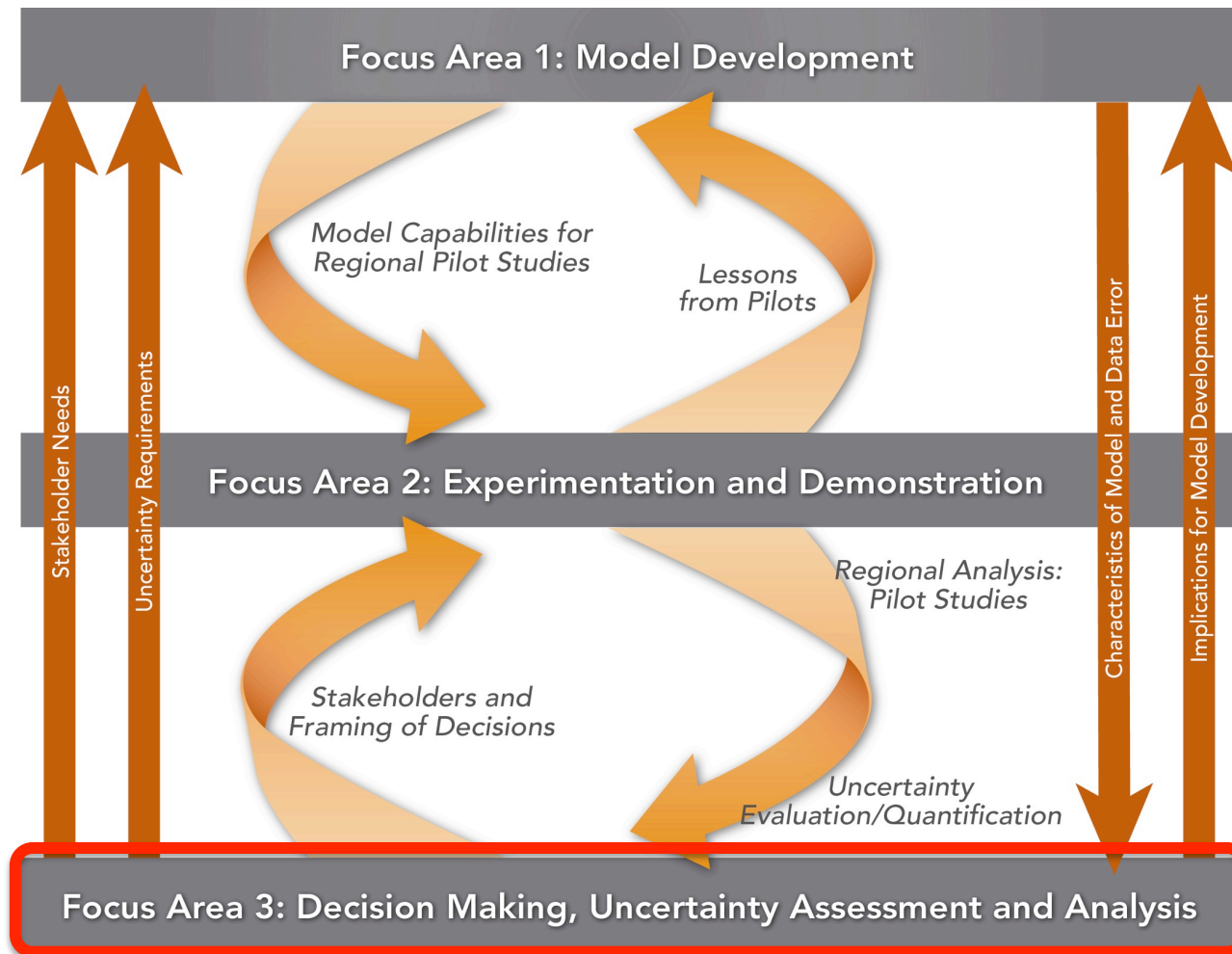
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# Characterizing Uncertainty for Regional Mitigation and Adaptation Decisions

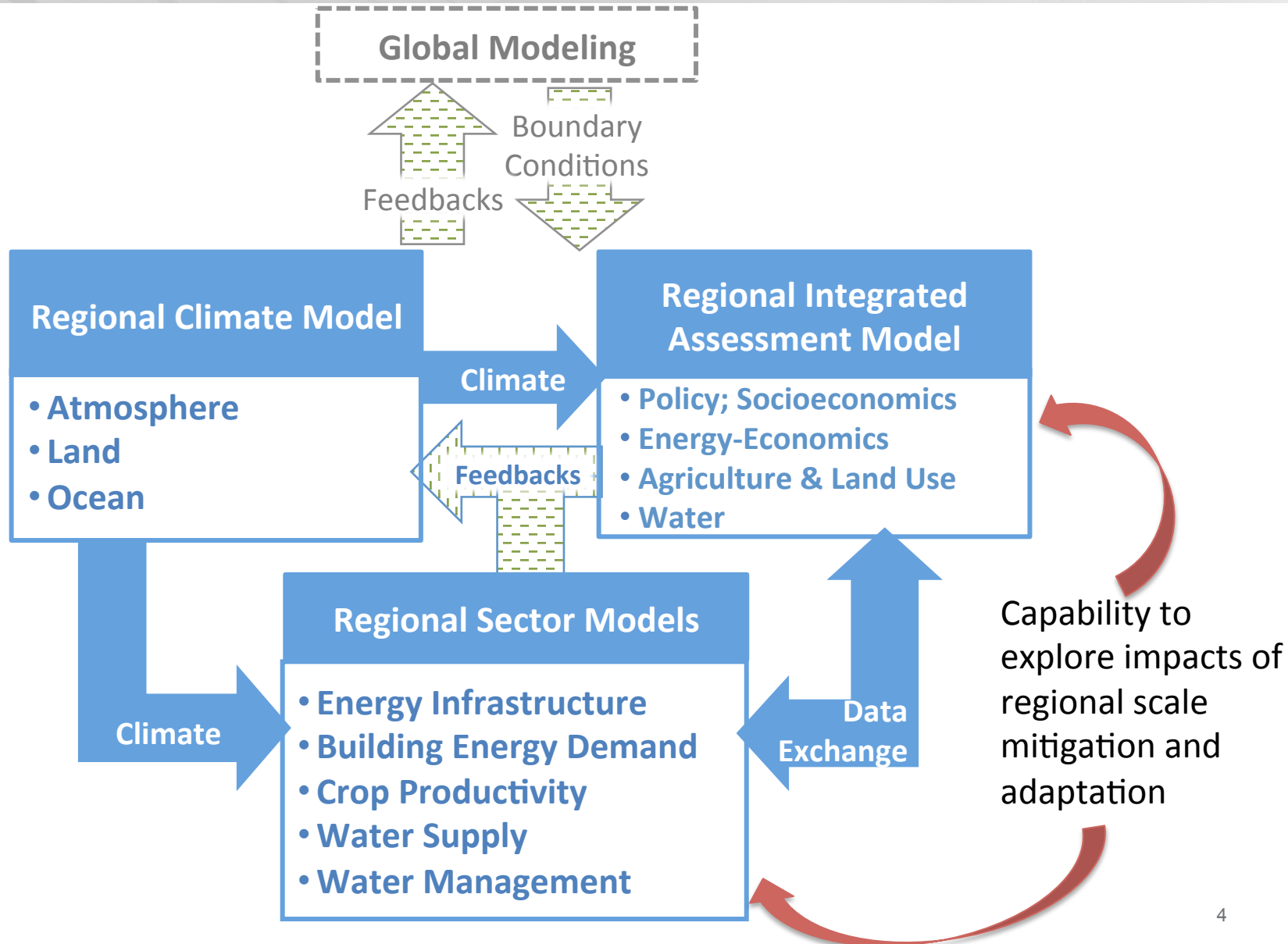
- ▶ Regional climate-related decisions will be made under uncertainty
- ▶ Models can help decision makers explore the implications of uncertainties for their decisions
- ▶ The complexity and size of models relevant to adaptation and mitigation decisions at regional scales make this challenging
- ▶ Research is needed to improve
  - Methods to apply insights from high resolution science models in reduced forms
  - Methods to propagate and analyze adaptation and mitigation relevant uncertainties
  - Methods to engage stakeholders in model development and application



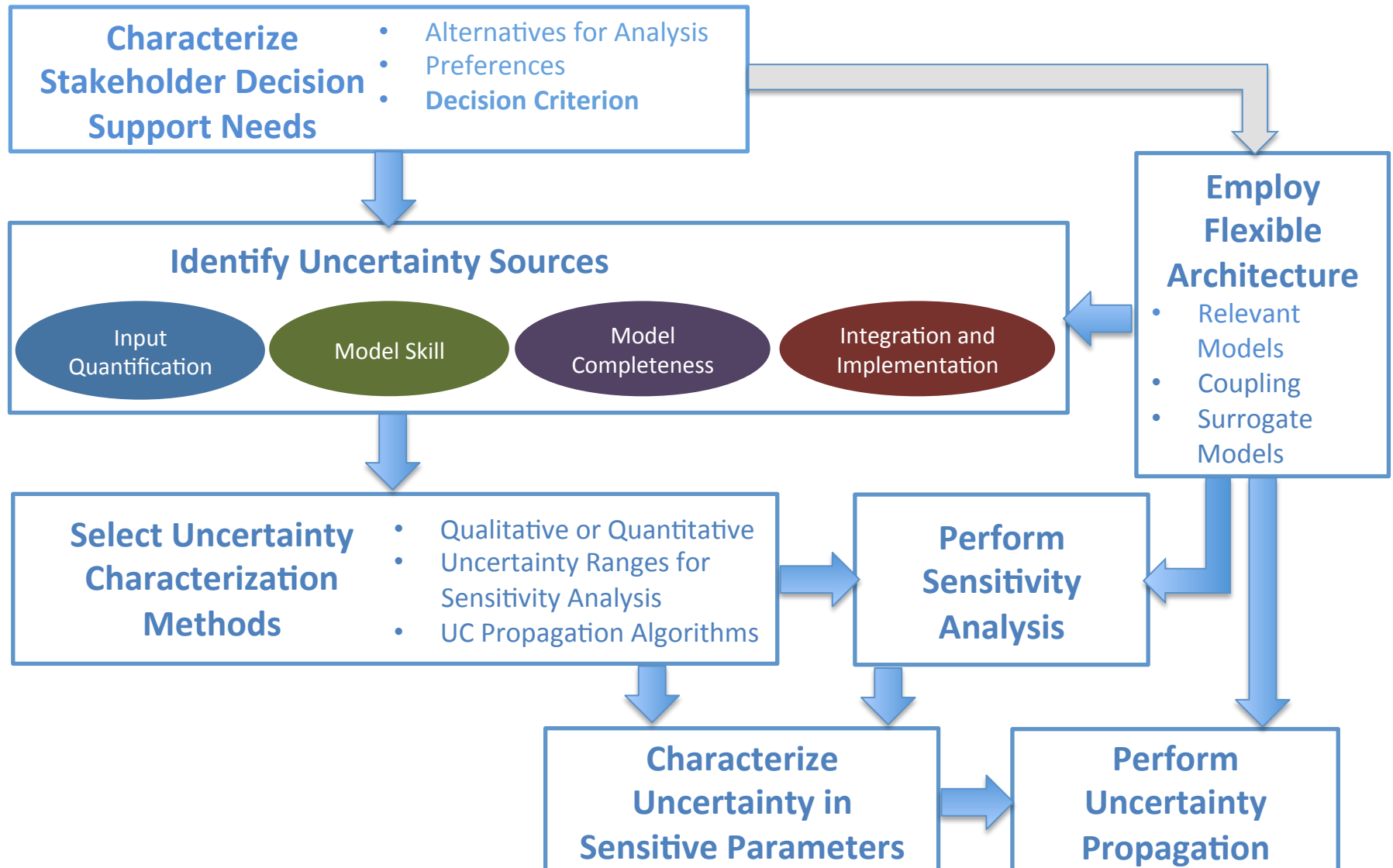
# Developing an Integrated Regional Model with Stakeholder Input



# iRESM Modeling Framework



# iRESM Decision-Relevant Uncertainty Characterization



## Stakeholder organizations met with (to date):

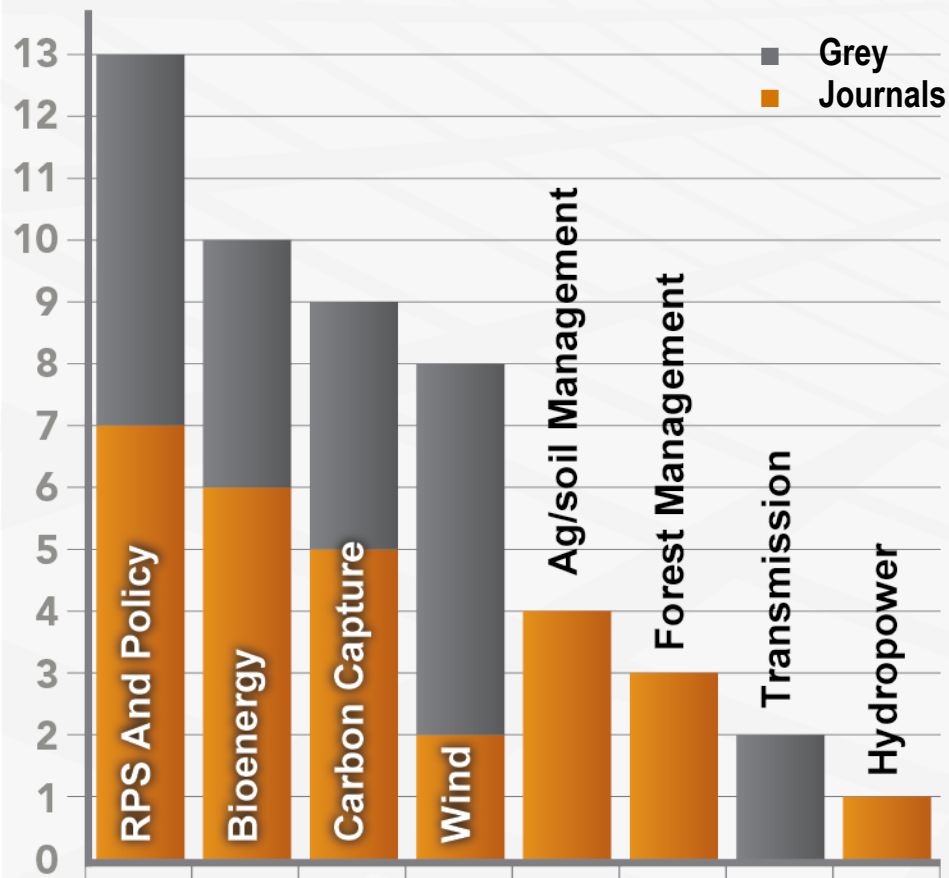
- Wisconsin Bioenergy Initiative
- Wisconsin Climate Change Initiative (represents a wide range of stakeholders)
- Nelson Institute for Environmental Studies, University of Wisconsin
- Center for Sustainability and the Global Environment, University of Wisconsin
- Center for Science, Technology and Public Policy, Humphrey School of Public Affairs, University of Minnesota
- Minnesota Forest Resources Council
- Minnesota Pollution Control Agency
- Iowa State University, Climate Science Program, Agricultural Meteorology
- University of Iowa, Center for Global and Regional Environmental Research
- Great Lakes Commission
- Midwest Independent System Operators (MISO)
- International Plant Nutrition Institute
- U.S. Department of Agriculture, ARS
- Illinois Department of Agriculture
- Chesapeake Energy
- Illinois Energy Office, Illinois Department of Commerce & Economic Opportunity
- Illinois EPA
- City of Chicago Department of Environment
- Great Lakes and St. Lawrence Cities Initiative
- Metropolitan Water Reclamation District of Greater Chicago
- Pennsylvania State University, several departments



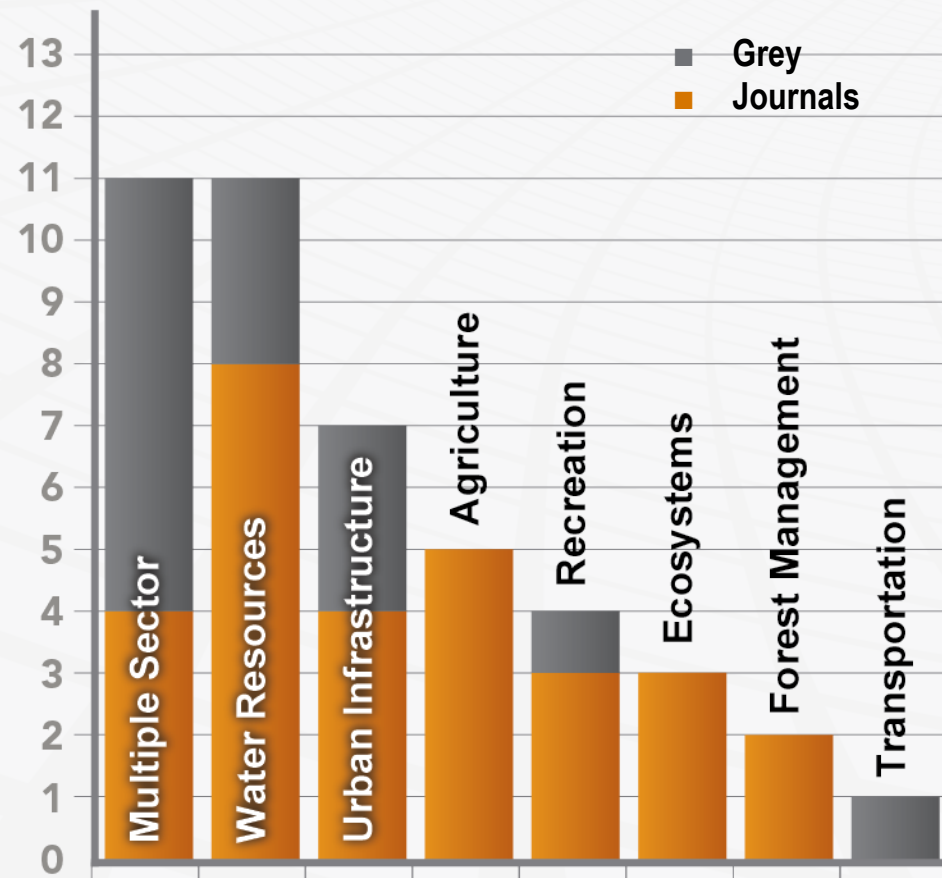


# Literature Review Reveals Pilot Region Decisions/Priorities Underway or in Prospect

## MITIGATION



## ADAPTATION



Rice JS, RH Moss, PJ Runci, KL Anderson, and EL Malone. 2012. "Incorporating stakeholder decision support needs into an integrated regional Earth system model." *Mitigation and Adaptation Strategies for Global Change*. DOI: 10.1007/s11027-011-9345-3.

# Using Stakeholder Input: A Typology of Decisions and Decision Criteria

Code	Mitigation Decision Types	Code	Adaptation Decision Types	Code	Type of Decision	Code	Decision Criteria	Code	Decision Process	Code	Decision Framework
M1	Carbon tax	A1	Improve building codes; retrofit existing HVAC	D1	Regional policy mandate	C1	Net benefits	P1	State-level task force or committee	D1	Risk
M2	Renewable Portfolio Standard (RPS)	A2	Reduce thermoelectric operations	D1.1	Regional incentives	C1.1	Economic benefits	P1.1	Legislation	D2	Multi-criteria
M3	Cap and trade	A3	Invest in dry cooling; cooling towers	D2	Meeting RPS requirements	C1.2	Peak demand	P1.2	Scientific advisory group	D3	"Cost-benefit"
M4	Improve building codes; retrofit existing HVAC	A4	Increase generation in urban areas	D2.1	In-state sourcing	C1.3	Reduced emissions	P1.3	Governor's executive order	D4	

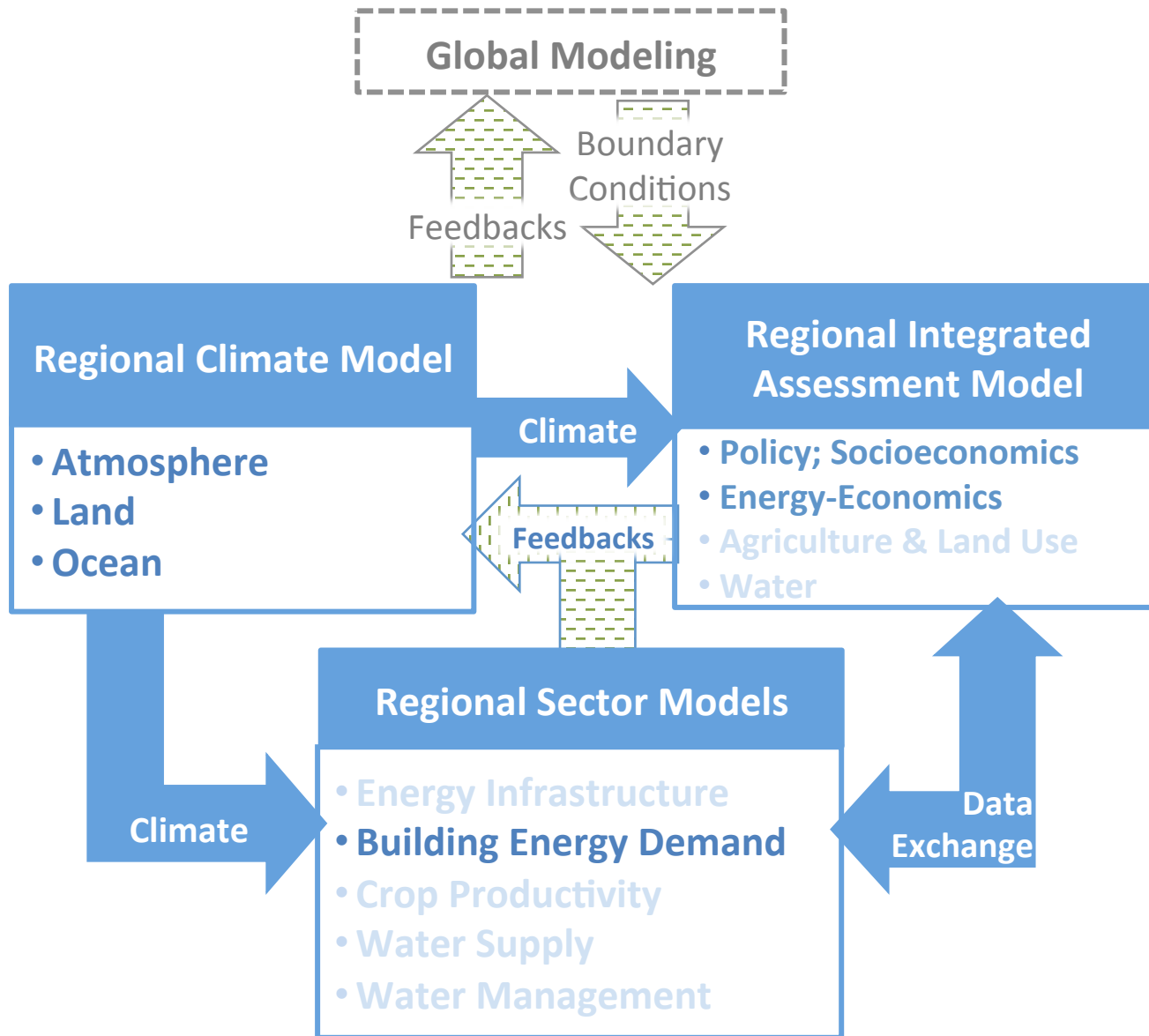


# Example Application: Regional Building Standards

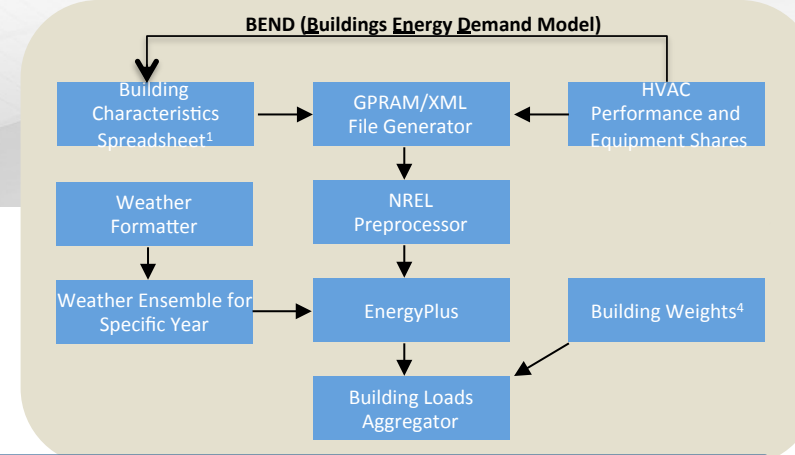
- ▶ Decision maker: Midwest Governors Association
- ▶ Decision: Improved regional building standards—at what level?
- ▶ Decision criteria: economics, emissions, peak demand (reduce demand during heat waves)
- ▶ Decision process: multi-state policy decision
- ▶ Decision framework: multi-criteria



# iRESM Model Couplings to Explore Building Code Revisions



# Uncertainty Sources in BEND – Sample Results



## UNCERTAINTY SOURCE IDENTIFICATION

### Input Quantification

- Count of building types in a region
- Building stock characteristics
- Necessary spatial resolution
- Inputs from RESM and R-GCAM

### Model Skill

- Representative-ness of locations chosen to represent the region
- Count of buildings by building type is calibrated to reproduce base year total annual energy demand and hourly electricity profiles

### Model Completeness

- Core of BEND is DOE's Energy Plus model--the industry's most detailed and highest pedigree model

### Integration and Implementation

#### A priori sensitivity:

- Uncertainty in climate likely to dominate uncertainties in base year building inventory
- Demand response to price changes (interactions with R-GCAM)
- Technological change (from R-GCAM)

# Apply Sensitivity Analysis and Uncertainty Propagation Approaches

## Uncertainty Characterization

Quantitative and Non-Quantitative Methods

- Qualitative methods include scenario/case analysis, bounding methodologies, elicitation

## Uncertainty Quantification

- Bayesian probabilistic characterization
- Dempster-Shafer characterization
- Possibilistic methods
- Robust Bayes methods
- Other nonprobabilistic methods

## Uncertainty Propagation

- Monte Carlo analysis
    - Random sampling
    - Importance sampling
    - Latin Hypercube
    - Markov Chain Monte Carlo
  - Surrogate models
  - Nonprobabilistic measure propagation
  - Propagation of moments
  - Adjoint methods
- Bound propagation
  - Qualitative/semi-quantitative data fusion
  - Qualitative measure propagation

# Next Steps – Stakeholder and UC Process

- ▶ Build out the typology of decisions, criteria, processes, and frameworks across issues and regions
- ▶ Continue model and platform development
- ▶ Identify needed model couplings and UC needs, including reduced forms
- ▶ Apply additional UC methods (e.g., assess risk of policy framework)
- ▶ Build out standardized resources and workflows
- ▶ Experiment with visualization, scenario planning, and other methods

- ▶ The need for a decision- or problem-focused modeling approach:
  - The way to make modeling and UC relevant and feasible
- ▶ The utility of stakeholder interviews in model development
  - Identify standardized uncertainty resources and work flows
  - Clarify adaptation and mitigation options and decision situations
- ▶ The need for perseverance
  - Informing model development with a focus on decision making is challenging



- ▶ Goal: Develop flexible model architectures for decision-relevant uncertainty characterization using insights from “science” models
- ▶ Needs:
  - Reduced forms/surrogate models
    - Beyond global averages to variation in detailed patterns of climate
  - Resources for decision-focused uncertainty characterization
    - Typology of decisions and decision situations to identify priorities
    - PDFs for sensitive variables for recurring decision criteria
    - Methods/models for uncertainty propagation, e.g., standardized workflows
  - Stakeholder oriented resources
    - Participatory scenario planning – connecting global change science to place-based concerns and decision making processes
    - Implications of different decision situations for decision support and modeling needs
    - Visualization methods



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# Discussion